

Customer No. 31013

Atty. Docket No. 104841-00003

COMMISSIONER FOR PATENTS
Mail Stop New Application
P.O. Box 1450
Alexandria, VA. 22313-1450

17858 U.S. PTO
10/669518
09/24/03

Transmitted herewith for filing is the patent application of Inventor(s) **Carl R. Aron and John A. Smith**

Entitled: **SYSTEM AND METHOD FOR CREATING A COST-EFFECTIVE AND EFFICIENT RETAIL ELECTRIC POWER EXCHANGE/ENERGY SERVICE PROVIDER LOAD OPTIMIZATION EXCHANGE AND NETWORK THEREFOR**

☒ This application is a Continuation of prior application Serial No. 09/663,813, Examiner Geoffrey R. Akers
Group Art Unit 3624

Enclosed are:

- ☒ 194 sheets of specification and 47 sheet(s) of drawing(s).
☐ An Assignment of the invention to _____ and an Assignment Recordation cover sheet.
☐ A certified copy of a priority application.
☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
☒ A copy of the executed declaration/power of attorney.
☐ A copy of the Information Disclosure Statement and form PTO-1449.
☒ Other: Preliminary Amendment, copy of Request for Extension of Time in co-pending related application Serial No. 09/663,813.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)		SMALL ENTITY		OTHER THAN A SMALL ENTITY
FOR:	NO. FILED	NO. EXTRA		RATE	FEE	RATE
BASIC FEE					\$375	\$750
TOTAL CLAIMS	20 - 20 =	* 0	x 9	\$	OR	x 18
INDEP CLAIMS	3 - 3 =	* 0	x 42	\$	OR	x 84
MULTIPLE DEPENDENT CLAIM PRESENTED			x 140	\$	OR	x 280
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$375	OR	TOTAL

☒ Please charge Deposit Account No. **50-0540** in the amount of **\$ 375.00** to cover the filing fee. The Commissioner is also hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☐ Any additional filing fees required under 37 C.F.R. § 1.16.
☐ Any patent application processing fees under 37 C.F.R. § 1.17.

☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

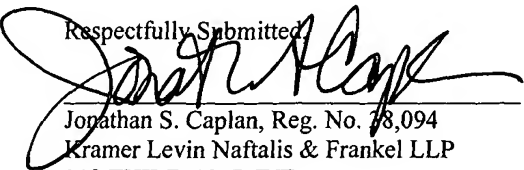
☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
☒ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Date: September 24, 2003

EXPRESS MAIL CERT. NO. EV328960173US
DATE OF DEPOSIT: September 24, 2003

Respectfully Submitted,


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Customer No. 31013

Atty. Docket No. 104841-00002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Carl R. Aron et al.

Serial No.: 09/663,813

Filed: September 15, 2000

For: **SYSTEM AND METHOD FOR CREATING A COST-EFFECTIVE AND
EFFICIENT RETAIL ELECTRIC POWER EXCHANGE/ENERGY
SERVICE PROVIDER LOAD OPTIMIZATION EXCHANGE AND
NETWORK THEREFOR**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8
I hereby certify that this correspondence is being deposited
with the United States Postal Service with sufficient
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Jonathan S. Caplan

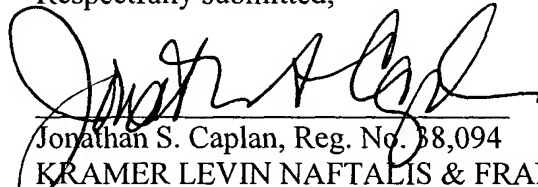
REQUEST FOR EXTENSION OF TIME

Sir:

Applicants hereby request a **three-month extension of time** for responding to the outstanding Office Action dated March 24, 2003. The extended period for response expires on **September 24, 2003**. Please charge **\$405.00** for the small entity extension fee, or any additional fees or credit any overpayment in connection with this submission to Deposit Account No. **50-0540**.

Dated: September 24, 2003

Respectfully submitted,



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